



**soloQRP  
Adoption Agreement**

**NuView IRA- Processing Office**  
280 S. Ronald Reagan Blvd  
Longwood, FL 32750  
P: (877) 259-3256 | F: (321) 926-1156  
E: IRASpecialists@nuviewtrust.com

**1 GENERAL PLAN ESTABLISHMENT INFORMATION**

**BUSINESS OR INDIVIDUAL INFORMATION**

<b>Name of Employer</b> Global Realty Company LLC	<b>EIN/(SSN for Sole Proprietor)</b> 20-4457416	<b>Authorized Representative</b> Dean C. Price II	<b>Employer's Taxable Year End (month/day)</b>
<b>Business Phone</b> (407) 741-8540	<b>The employer is part of a Controlled Group or Affiliated Service group:</b> Yes <input type="checkbox"/> N/A <input checked="" type="checkbox"/> If yes, complete Attachment A.		<b>Referred By</b>
<b>Type of Business Entity</b> <input type="checkbox"/> Partnership <input type="checkbox"/> Sole Proprietor <input checked="" type="checkbox"/> Other (must be a legal entity recognized under federal income tax laws): <b>Limited Liability Company</b> <input type="checkbox"/> C Corporation; Date of Incorporation: _____ <input type="checkbox"/> Corporation; Date of Incorporation: _____			

**PLAN TRUSTEE DESIGNATION (See Section 5 for Responsibilities)**

<b>Legal Name (Required)</b> <input checked="" type="checkbox"/> Mr. <input type="checkbox"/> Ms. <input type="checkbox"/> Mrs. <input type="checkbox"/> Dr. Dean C. Price II	<b>Date of Birth (MM/DD/YYYY)</b> 12/06/1966	<b>Social Security Number</b> 382887303	<b>Marital Status</b> <input checked="" type="checkbox"/> Married (See Consent of Spouse) <input type="checkbox"/> Widowed or Divorced <input type="checkbox"/> Single
<b>Legal Address (Required)</b> 3860 North County Road 426		<b>City, State, Zip</b> Geneva, FL 32732	
<b>Mailing Address (Optional)</b> 865 Oviedo Blvd #1027		<b>City, State, Zip</b> Oviedo FL 32765	
<b>Primary Phone</b> (407) 416-4168	<b>Mobile</b>	<b>Email Address</b> dprice@global-realty.net	<b>Occupation (most recent if retired)</b> Attorney / RE Broker

**PLAN INFORMATION**

This is a:

a. New plan (not earlier than the 1st day of the current Plan Year) with an effective date of: \_\_\_\_\_

b. Restatement of a plan previously adopted by the Employer with an effective date of: July 31, 2022  
(should not earlier be earlier than the first day of the Plan Year in with the plan is restated), and an initial effective date of: \_\_\_\_\_

c. Amendment of a plan with an effective date of: \_\_\_\_\_ and an initial effective date of: \_\_\_\_\_

d. Merger, amendment and restatement of the \_\_\_\_\_ and the \_\_\_\_\_  
into the \_\_\_\_\_. The effective date of the merger is: \_\_\_\_\_  
The initial effective date of the surviving plan was: \_\_\_\_\_

e. Restatement of the \_\_\_\_\_ effective, and a restatement of the \_\_\_\_\_  
effective \_\_\_\_\_ and a merger of the \_\_\_\_\_ into the \_\_\_\_\_

f. Amendment of a plan to a wasting Trust with an effective date of: \_\_\_\_\_ and an initial effective date of: \_\_\_\_\_

g. If the plan contains a Cash or Deferred Arrangement (CODA), the effective date of the CODA \_\_\_\_\_  
(cannot be earlier than the first day the CODA was adopted)

<b>This Plan shall be governed by the laws of the state or commonwealth where the Employer's (or in the case of a corporate Trustee, such Trustee's) principal place of business is located unless another state or commonwealth is specified:</b> _____	<b>Loans to Participants</b> <input checked="" type="checkbox"/> are <input type="checkbox"/> are not available
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**Roth Effective Deferrals**  shall  shall not be permitted **In-Plan Roth Conversions**  shall  shall not be permitted

**2 FEES**

The annual fee to purchase the NuView IRA soloQRP is: \$495.00 (Due at plan establishment and annual renewal date thereafter)

Account establishment fee: ~~\$50.00~~

Indicate the method of payment that you would like to use for the initial payment:  Check  Credit Card\*

Indicate how you would like to pay for plan updates:  Check  Credit Card\*

**CREDIT CARD TYPE:**  VISA  MC  AMEX  DISCOVER

**CARD NUMBER:** 4443954597309421 **EXP DATE:** 05/25 **SECURITY CODE:** 511

**BILLING ADDRESS:** 3860 North County Road 426 **CITY, STATE, ZIP:** Geneva FL 32732

**NAME ON CARD:** Global Realty Company LL **SIGNATURE:** Dean Price



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**3 MULTIPLE PLAN LANGUAGE & CONTROLLED GROUP ADDENDUM**

**OVERRIDING LANGUAGE FOR MULTIPLE PLANS (If Applicable)**

If the Employer maintains or ever maintained another qualified plan in which any Participant in this Plan is (or was) a Participant or could become a Participant, the Employer must complete this section.

(a) If the Participant is covered under another qualified defined contribution plan maintained by the Employer, other than a Pre-Approved plan:

- The provisions of section 6.02 of Article VI will apply as if the other plan were a Pre-Approved plan.
- (Provide the method under which the plans will limit total annual additions to the maximum permissible amount, and will properly reduce any excess amounts, in a manner that precludes employer discretion): \_\_\_\_\_

(b) The Employer wishes to add overriding language to satisfy section 416 in the case of required aggregation under multiple plans:

- Yes (Employer must attach overriding language, if elected.)  No

If (b) is elected, complete the following:

- (i) Interest Rate: \_\_\_\_\_ Mortality Table: \_\_\_\_\_; or
- (ii) The interest rate and mortality table specified to determine "present value" for top-heavy purposes in the defined benefit plan.

**CONTROLLED GROUP ADDENDUM (If Applicable)**

**Schedule of Affiliated Service Group Companies and Commonly Controlled Employers**

The Employer that adopts this Plan includes all members of a controlled group of corporations (as defined in section 414(b) of the Code as modified by section 415(h)), all commonly controlled trades or businesses (as defined in section 414(c) as modified by section 415(h)) or affiliated service groups (as defined in section 414(m)) of which the adopting employer is a part, and any other entity required to be aggregated with the Employer pursuant to regulations under section 414(o) of the Code.

Failure to include in this Adoption Agreement all Employers under common control may violate the provisions of Internal Revenue Code section 410 and other sections of the IRC with respect to plan qualification.

**ATTACHMENT A**

Name of Employer

Global Realty Company LLC

The named Adopting Employer, together with the below-listed entities, is defined as a:

- Controlled Group; or  Affiliated Service Group

List all "affiliated" employers with the above listed Employer.

Name	Address	EN



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**4 PLAN DEFAULTS**

**Plan Defaults for EZ-K Profit-Sharing Plan**

- (1) The Plan Year shall be the calendar year.
- (2) The Limitation Year shall be the calendar year.
- (3) The Valuation Date shall be the last day of the Plan Year and such other dates as may be directed by the Plan Administrator determined on a nondiscriminatory basis.
- (4) Employees who have attained the age of 21 and have completed 1 Year of Service are eligible to participate in the Plan. However, these eligibility requirements shall be waived for employees employed on the effective date of the Plan.
- (5) All Employees shall be eligible except the following: All Employees included in a unit of Employees covered by a collective bargaining agreement as described in Section 14.08 of the Plan; Employees who are nonresident aliens as described in Section 14.25 of the Plan; and Employees who become Employees as the result of a "§410(b)(6)(C) transaction", as described in section 14.01 of the Plan.
- (6) Service under the Plan shall be computed on the basis of actual hours for which an Employee is paid or entitled to payment. A Year of Service shall mean a 12-consecutive month period during which an Employee completes at least 1000 Hours of Service. A Break in Service shall mean a 12 -consecutive month period during which an Employee does not complete more than 500 Hours of Service. Once eligible, contributions will be allocated to the account of each Participant regardless of the number of hours of service completed in a Plan Year. The contribution is not dependent on the Participant being employed on the last day of the Plan Year.
- (7) Entry Date for an eligible Employee who has completed the eligibility requirements will be the 1st day of the first month or the first day of the 7th month of the Plan Year after the Employee satisfies the eligibility requirements.
- (8) Employer Nonelective and Matching Contributions shall be made at the discretion of the Employer on a nondiscriminatory basis.  
Note: If a discretionary Matching Contribution formula applies (i.e., a formula that provides an Employer with discretion regarding how to allocate a Matching Contribution to Participants) and the Employer makes a discretionary Matching Contribution to the Plan, the Employer must provide the Plan Administrator (or Trustee, if applicable), written instructions describing (1) how the discretionary Matching Contribution formula will be allocated to Participants (e.g., a uniform percentage of Elective Deferrals or a flat dollar amount), (2) the computation period(s) to which the discretionary Matching Contribution formula applies, and (3) if applicable, a description of each business location or business classification subject to separate discretionary Matching Contribution allocation formulas. Such instructions must be provided no later than the date on which the discretionary Matching Contribution is made to the Plan. A summary of these instructions must be communicated to Participants who receive discretionary Matching Contributions. The summary must be communicated to Participants no later than 60 days following the date on which the discretionary Matching Contribution is made to the Plan.
- (9) Rollover (excluding After-Tax Employee Contributions) and Transfer Contributions are permitted pursuant to Article IV of the Plan.
- (10) Employee Nondeductible and Mandatory Contributions are not permitted.
- (11) Elective Deferrals are permitted up to the maximum permitted under section 402(g) of the Code. Each Participant shall have an effective opportunity to make or change an election to make Elective Deferrals (including Designated Roth Contributions) at least once each Plan Year.
- (12) Catch-up Contributions are permitted.
- (13) Safe Harbor 401(k) provisions do not apply.
- (14) Vesting for all contributions under the Plan shall be full and immediate.
- (15) Compensation for any Participant shall be the 415 safe harbor definition as described in Section 14.39 of the Plan. Such Compensation includes such amounts that are actually paid to the Participant during the Plan Year and includes employer contributions made pursuant to a salary reduction agreement which are not includible in the gross income of the Employee under sections 125, 132(f)(4), 402(e)(3), 401(k), governmental 457(b), or 402(h)(1)(B) of the Code. Amounts received by an Employee pursuant to a nonqualified unfunded deferred compensation plan shall be considered Compensation in the year the amounts are actually received. Such amounts may be considered Compensation only to the extent includible in gross income.
- (16) In-service distributions are available. Once an Employee has participated in the plan for 60 months, all employer contributions are available for withdrawal. Prior to the 60-month period, Employees may withdraw all employer contributions, which have been in the Plan for a period of 24 months or apply for a hardship distribution. In-Service distributions from all employer contributions are available upon the Participant's attainment of age 55. Elective Deferrals are available for distribution upon attainment of age 59 1/2 or due to financial hardship. Rollover account is available at any time. If In-Plan Roth Rollovers are permitted, all in-service distribution provision shall apply.
- (17) A Participant may not elect benefits in the form of a life annuity. All other forms of benefit payments are available. Benefits are available to the Participant on such Participant's termination of employment or upon Disability.
- (18) The Plan is designed to operate as if it were Top-Heavy at all times.
- (19) The Normal Retirement Age under the Plan shall be age 55.
- (20) The Required Beginning Date of a Participant with respect to a Plan is the April 1 of the calendar year following the calendar year in which the Participant attains age 70½, except that benefit distributions to a Participant (other than a 5 percent owner) with respect to benefits accrued after the later of the adoption or effective date of the amendment to the Plan must commence by the later of the April 1 of the calendar year following the calendar year in which the Participant attains age 70½ or retires. The waiver for 2009 Required Minimum Distributions was subject to participant choice. If no election was made, the default was to discontinue the 2009 Required Minimum Distribution.
- (21) Investments shall be determined pursuant to the Trust Agreement. The Trustee may develop any investment policy necessary.



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## 5 ADOPTION AGREEMENT ADDENDUMS

### RESTATEMENT EFFECTIVE DATES

NOTE: IF THIS PLAN IS NOT A RESTATEMENT OF ANY EXISTING PLAN, THIS ADDENDUM DOES NOT APPLY.

#### EZ-k 401(K) PLAN

Provision	Effective Date
<input type="checkbox"/> The eligibility requirements under Plan Defaults _____	_____
<input type="checkbox"/> The Employer contribution provisions under Plan Defaults _____	_____
<input type="checkbox"/> The Vesting Formula under Plan Defaults _____	_____
<input type="checkbox"/> In-Service Distributions under Plan Defaults _____	_____
<input type="checkbox"/> Definition of Required Beginning Date under Plan Defaults _____	_____
<input type="checkbox"/> Amended to include Traditional 401(k); Designated Roth provisions	_____
<input type="checkbox"/> Enter Provision and Item Number, if applicable: _____	_____
<input type="checkbox"/> Enter Provision and Item Number, if applicable: _____	_____
<input type="checkbox"/> Enter Provision and Item Number, if applicable: _____	_____
<input type="checkbox"/> Enter Provision and Item Number, if applicable: _____	_____
<input type="checkbox"/> If this box is checked, the following protected benefits from another plan must be incorporated into the provisions of this Plan: _____	

Note: If a 411(d)(6) protected benefit in the Plan or a plan being merged into the Plan is not either (1) available as a provision through the Pre-Approved Plan or (2) the subject of a prior determination, advisory or opinion letter, the Employer cannot rely on the Pre-Approved Plan Provider's opinion letter for qualification with respect to such benefit. If a 411(d)(6) protected benefit in the Plan or a plan being merged into the Plan is not permitted in a pre-approved plan, as described in Section 6.03 of Revenue Procedure 2017-41, such provision must be discontinued no later than the date the Employer adopts this Pre-Approved Plan or, in the case of a merger, the merger date and shall apply only to the extent required under Code Section 411(d)(6).



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## 6 ACCOUNT RECORD KEEPING

The soloQRP requires a designated Record Keeper to undertake the following roles and responsibilities:

- Keep track of all participant accounts and funds related to each participant
- Manage annual contributions and distributions
- Review, record, and track all transactions and expenses made within the plan(s)

Indicate who you would like to elect as Record Keeper:  Serve as my own Record Keeper (Do NOT Complete Attached Record Keeping Application)

Appoint NuView as my Record Keeper (Complete Attached Record Keeping Application)

## 7 EMPLOYER SPONSORED PLAN ACCOUNT AGREEMENT (ESPAA) & ACKNOWLEDGMENT

I Dean C Price II as the Individual representing Global Realty Company, LLCC (name of employer) am establishing a soloQRP. I understand the terms of the agreement, the responsibilities defined in all the documents and disclosures I have received and I acknowledge receipt of all the information as follows:

### Roles and Responsibilities:

NuView IRA will provide the following:

- (1) Adoption Agreement (AA) – Employer Plan Establishment Form.
- (2) QP Defined Contribution Basic Plan Document (BPD) – The rules of how the plan must be maintained.
- (3) Amendments as required by regulatory agencies.
- (4) IRS Opinion letter – Internal Revenue Service letter on approval of the language of the BPD and AA.

### Responsibilities of Trustee

The Trustee shall have the following responsibilities with respect to administration of the plan:

- (1) The Trustee shall be the Trustee of the Plan.
  - (i) The Trustee shall appoint a plan record keeper to maintain the cash and assets of the plan. In the absence of such an appointment, the Trustee shall serve as the plan record keeper. The Trustee may designate a third party record keeper at any time.
- (2) The Trustee shall appoint a Plan Administrator to administer the Plan. In absence of such an appointment, the Employer shall serve as Plan Administrator. The Trustee may remove and reappoint a Plan Administrator from time to time.
- (3) The Trustee may in its discretion appoint an Investment Manager to manage all or a designated portion of the assets of the Plan. In such event, the Trustee shall follow the directive of the Investment Manager in investing the assets of the Plan managed by the Investment Manager.
- (4) The Trustee shall supply the Plan Administrator in a timely manner with all information necessary for it to fulfill its responsibilities under the Plan. The Plan Record Keeper may rely upon such information and shall have no duty to verify it.

### Rights and Responsibilities of Plan Administrator

The Plan Administrator shall administer the Plan according to its terms for the exclusive benefit of Participants, former Participants, and their Beneficiaries.

- (1) The Plan Administrator's responsibilities shall include but not be limited to the following:
  - (i) Determining all questions relating to the eligibility of Employees to participate or remain Participants hereunder.
  - (ii) Computing, certifying and directing the Trustee with respect to the amount and form of benefits to which a Participant may be entitled hereunder.
  - (iii) Authorizing and directing the Trustee with respect to disbursements from the Trust Fund.
  - (iv) Maintaining all necessary records for administration of the Plan.
  - (v) Interpreting the provisions of the Plan and preparing and publishing rules and regulations for the Plan which are not inconsistent with its terms and provisions.
  - (vi) Complying with any reporting, disclosure and notice requirements of the Code and ERISA.
    - (1) Including, if required, the filing of the IRS Form 5500
    - (2) Including, if required, plan compliance testing and reporting
    - (3) including, if required, any withholding and tax reporting with the IRS

Asset vesting shall be in the name of: Dean Price as trustee of Global 401(k)  
(Trustee Name and Plan Name)

FBO: Dean C Price II  
(Plan Participant)

\_\_\_\_\_  
(Trustee Name and Plan Name)

FBO: \_\_\_\_\_  
(Plan Participant)

\_\_\_\_\_  
(Trustee Name and Plan Name)

FBO: \_\_\_\_\_  
(Plan Participant)

\_\_\_\_\_  
(Trustee Name and Plan Name)

FBO: \_\_\_\_\_  
(Plan Participant)

\_\_\_\_\_  
(Trustee Name and Plan Name)

FBO: \_\_\_\_\_  
(Plan Participant)

(2) In order to fulfill its responsibilities, the Plan Administrator shall have all powers necessary or appropriate to accomplish his duties under the Plan, including the power to determine all questions arising in connection with the administration, interpretation and application of the Plan. Any such determination shall be conclusive and binding upon all persons. However, all discretionary acts, interpretations and constructions shall be done in a nondiscriminatory manner based upon uniform principles consistently applied. No action shall be taken which would be inconsistent with the intent that the Plan remain qualified under section 401(a) of the Code. The Plan Administrator is specifically authorized to employ or retain suitable employees, agents, and counsel as may be necessary or advisable to fulfill its responsibilities hereunder, and to pay their reasonable compensation, which shall be reimbursed from the Trust Fund if not paid by the Employer within thirty days after the Plan Administrator advises the Employer of the amount owed.

(3) The Plan Administrator shall serve as the designated agent for legal process under the Plan.



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8 EMPLOYER SPONSORED PLAN ACCOUNT AGREEMENT (ESPAA) & ACKNOWLEDGMENT (CONT'D)

The adopting Employer may rely on an opinion letter issued by the Internal Revenue Service as evidence that the Plan is qualified under § 401 of the Internal Revenue Code except to the extent provided in Rev. Proc. 2017-41.
An Employer who has ever maintained or who later adopts any plan (including a welfare benefit fund, as defined in § 419(e) of the Code, which provides post-retirement medical benefits allocated to separate accounts for key employees, as defined in § 419A(d) (3) of the Code, or an individual medical account, as defined in § 415(l) (2) of the Code) in addition to this Plan may not rely on the opinion letter issued by the Internal Revenue Service with respect to the requirements of § 415 and 416.
If the Employer who adopts or maintains multiple plans wishes to obtain reliance with respect to the requirements of § 415 and 416, application for a determination letter must be made to Employee Plans Determinations of the Internal Revenue Service.
The Employer may not rely on the opinion letter in certain other circumstances, which are specified in the opinion letter issued with respect to the plan or in Rev. Proc. 2017-41.
This Adoption Agreement may be used only in conjunction with basic Plan Document #01.
The Sponsor will inform the adopting Employer of any amendments it makes to the Plan or of its discontinuance or abandonment of the Plan.
NOTICE: Failure to properly complete this Adoption Agreement may result in disqualification of the Plan. The Employer's tax advisor should review the Plan and Trust and this Adoption Agreement prior to the Employer adopting such plan.
The Sponsor will prepare two separate Adoption Agreements for the Employer's signature where such Employer is adopting both a Profit Sharing and Money Purchase Plan.
The adopting Employer must complete a new Adoption Agreement upon first adoption of the Plan. Additionally, upon any modifications to a prior election, making of new elections, or restatement of the Plan, a new Adoption Agreement must be completed.
The undersigned Employer acknowledges receipt of a copy of the Plan, Trust Agreement and this Adoption Agreement on the date indicated below.
I understand that NuView IRA, Inc is only the plan document provider and WILL NOT provide record keeping or plan administration to the plan.

Name of Employer: Global Realty Company LLC Date: 7/25/2022 | 3:30 PM EDT
Authorized Signature: [Signature]
Print Name/Title of Signer: Dean C Price II
Name of Trustee: Dean C Price II Date: 7/25/2022 | 3:30 PM EDT
Authorized Signature: [Signature]
Print Name/Title of Signer: Dean C Price II
Signature of NuView IRA Representative: \_\_\_\_\_ Date: 7/25/2022 | 3:30 PM EDT

## Certificate Of Completion

Envelope Id: 2FA4F9F3468040A3A23603ABF99E55F5  
 Subject: Please DocuSign: Solo App 2022.pdf Dean Price  
 Source Envelope:  
 Document Pages: 7  
 Certificate Pages: 5  
 AutoNav: Enabled  
 Envelope Stamping: Enabled  
 Time Zone: (UTC-05:00) Eastern Time (US & Canada)

Status: Sent

Envelope Originator:  
 Brian Martinez  
 280 S. Ronald Reagan Blvd  
 Longwood, FL 32750  
 bmartinez@nuviewira.com  
 IP Address: 131.226.25.170

## Record Tracking

Status: Original  
 7/19/2022 3:17:15 PM

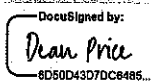
Holder: Brian Martinez  
 bmartinez@nuviewira.com

Location: DocuSign

## Signer Events

Dean Price  
 dprice@global-realty.net  
 Security Level:  
 DocuSign.email  
 ID: 1  
 7/19/2022 3:17:18 PM

### Signature

DocuSigned by:  
  
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Signature Adoption: Pre-selected Style  
 Using IP Address: 131.226.25.170

### Timestamp

Sent: 7/19/2022 3:17:17 PM  
 Viewed: 7/19/2022 3:18:16 PM  
 Signed: 7/25/2022 3:30:31 PM

**Electronic Record and Signature Disclosure:**  
 Accepted: 7/19/2022 3:18:16 PM  
 ID: f6536009-81d7-4f27-a5bc-81f2296da122

Tyler Hood  
 thood@nuviewira.com  
 IS Sales  
 NuView IRA

Sent: 7/25/2022 3:30:33 PM

Security Level: Email, Account Authentication  
 (None)

**Electronic Record and Signature Disclosure:**  
 Not Offered via DocuSign

In Person Signer Events	Signature	Timestamp
Editor Delivery Events	Status	Timestamp
Agent Delivery Events	Status	Timestamp
Intermediary Delivery Events	Status	Timestamp
Certified Delivery Events	Status	Timestamp
Carbon Copy Events	Status	Timestamp
Witness Events	Signature	Timestamp
Notary Events	Signature	Timestamp
<b>Envelope Summary Events</b>	<b>Status</b>	<b>Timestamps</b>
Envelope Sent	Hashed/Encrypted	7/19/2022 3:17:17 PM
<b>Payment Events</b>	<b>Status</b>	<b>Timestamps</b>

10/10/2010 10:10:10 AM



## **ELECTRONIC RECORD AND SIGNATURE DISCLOSURE**

From time to time, Nuvview IRA (we, us or Company) may be required by law to provide to you certain written notices or disclosures. Described below are the terms and conditions for providing to you such notices and disclosures electronically through the DocuSign system. Please read the information below carefully and thoroughly, and if you can access this information electronically to your satisfaction and agree to this Electronic Record and Signature Disclosure (ERSD), please confirm your agreement by selecting the check-box next to 'I agree to use electronic records and signatures' before clicking 'CONTINUE' within the DocuSign system.

### **Getting paper copies**

At any time, you may request from us a paper copy of any record provided or made available electronically to you by us. You will have the ability to download and print documents we send to you through the DocuSign system during and immediately after the signing session and, if you elect to create a DocuSign account, you may access the documents for a limited period of time (usually 30 days) after such documents are first sent to you. After such time, if you wish for us to send you paper copies of any such documents from our office to you, you will be charged a \$0.00 per-page fee. You may request delivery of such paper copies from us by following the procedure described below.

### **Withdrawing your consent**

If you decide to receive notices and disclosures from us electronically, you may at any time change your mind and tell us that thereafter you want to receive required notices and disclosures only in paper format. How you must inform us of your decision to receive future notices and disclosure in paper format and withdraw your consent to receive notices and disclosures electronically is described below.

### **Consequences of changing your mind**

If you elect to receive required notices and disclosures only in paper format, it will slow the speed at which we can complete certain steps in transactions with you and delivering services to you because we will need first to send the required notices or disclosures to you in paper format, and then wait until we receive back from you your acknowledgment of your receipt of such paper notices or disclosures. Further, you will no longer be able to use the DocuSign system to receive required notices and consents electronically from us or to sign electronically documents from us.

### **All notices and disclosures will be sent to you electronically**

Unless you tell us otherwise in accordance with the procedures described herein, we will provide electronically to you through the DocuSign system all required notices, disclosures, authorizations, acknowledgements, and other documents that are required to be provided or made available to you during the course of our relationship with you. To reduce the chance of you inadvertently not receiving any notice or disclosure, we prefer to provide all of the required notices and disclosures to you by the same method and to the same address that you have given us. Thus, you can receive all the disclosures and notices electronically or in paper format through the paper mail delivery system. If you do not agree with this process, please let us know as described below. Please also see the paragraph immediately above that describes the consequences of your electing not to receive delivery of the notices and disclosures electronically from us.

**How to contact Nuview IRA:**

You may contact us to let us know of your changes as to how we may contact you electronically, to request paper copies of certain information from us, and to withdraw your prior consent to receive notices and disclosures electronically as follows:

To contact us by email send messages to: [documents@nuviewtrust.com](mailto:documents@nuviewtrust.com)

**To advise Nuview IRA of your new email address**

To let us know of a change in your email address where we should send notices and disclosures electronically to you, you must send an email message to us at [documents@nuviewtrust.com](mailto:documents@nuviewtrust.com) and in the body of such request you must state: your previous email address, your new email address. We do not require any other information from you to change your email address.

If you created a DocuSign account, you may update it with your new email address through your account preferences.

**To request paper copies from Nuview IRA**

To request delivery from us of paper copies of the notices and disclosures previously provided by us to you electronically, you must send us an email to [documents@nuviewtrust.com](mailto:documents@nuviewtrust.com) and in the body of such request you must state your email address, full name, mailing address, and telephone number. We will bill you for any fees at that time, if any.

**To withdraw your consent with Nuview IRA**

To inform us that you no longer wish to receive future notices and disclosures in electronic format you may:

- i. decline to sign a document from within your signing session, and on the subsequent page, select the check-box indicating you wish to withdraw your consent, or you may;
- ii. send us an email to [documents@nuviewtrust.com](mailto:documents@nuviewtrust.com) and in the body of such request you must state your email, full name, mailing address, and telephone number. We do not need any other information from you to withdraw consent.. The consequences of your withdrawing consent for online documents will be that transactions may take a longer time to process..

### **Required hardware and software**

The minimum system requirements for using the DocuSign system may change over time. The current system requirements are found here: <https://support.docusign.com/guides/signer-guide-signing-system-requirements>.

### **Acknowledging your access and consent to receive and sign documents electronically**

To confirm to us that you can access this information electronically, which will be similar to other electronic notices and disclosures that we will provide to you, please confirm that you have read this ERSD, and (i) that you are able to print on paper or electronically save this ERSD for your future reference and access; or (ii) that you are able to email this ERSD to an email address where you will be able to print on paper or save it for your future reference and access. Further, if you consent to receiving notices and disclosures exclusively in electronic format as described herein, then select the check-box next to 'I agree to use electronic records and signatures' before clicking 'CONTINUE' within the DocuSign system.

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